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AGENDA

Committee	AUDIT COMMITTEE
Date and Time of Meeting	TUESDAY, 26 JANUARY 2021, 4.30 PM
Venue	REMOTE VIA MS TEAMS
Membership	Hugh Thomas, David Price, Gavin McArthur Ian Arundale (in a period of approved leave of absence) Councillors Cowan, Cunnah, Goodway, Howells, Simmons, Singh and Williams

*Time
approx.*

- 1 Apologies for Absence** 4.30 pm
To receive apologies for absence.
- 2 Declarations of Interest**
To be made at the start of the agenda item in question, in accordance with the Members' Code of Conduct.
- 3 Committee Membership**
To note that Council on 26 November 2020 appointed the following Members to this Committee:

Independent Members:
Hugh Thomas, David Price, Gavin McArthur, Ian Arundale
Councillors: Cowan, Cunnah, Goodway, Howells, Lister, Simmons, Singh, Williams
- 4 Audit Committee Terms of Reference (Pages 5 - 8)**
To note the Audit Committee Terms of Reference – see attached
- 5 Minutes (Pages 9 - 16)**
To approve as a correct record the Minutes of the meetings held on 17

This document is available in Welsh / Mae'r ddogfen hon ar gael yn Gymraeg

November 2020.

- 6 Sarah McGill, Corporate Director - People & Communities** (*Pages 17 - 22*) 4.40 pm

Update on the Social Services Control Environment

- 7 Finance** 5.05 pm

- 7.1 Financial Update including Resilience Issues - Verbal Update

- 8 Governance and Risk Management** 5.15 pm

- 8.1 External Audit Recommendation Tracker (*Pages 23 - 44*)

- 8.2 Senior Management Assurance Statement and Annual Governance Statement Action Plan 2020/21(Mid-Year) - Verbal Update

COMFORT BREAK - 5.45pm

- 9 Audit Wales** 5.50 pm

- 9.1 Annual Audit Summary Report (*Pages 45 - 62*)
The Annual Audit Summary Report replaces the previous Annual Audit Letter and the Annual Improvement Report that have been previously issued to Councils with a single consolidated Summary.

- 9.2 Audit Wales - Work Programme / Activity Update (*Pages 63 - 66*)

- 10 Internal Audit** 6.10 pm

- 10.1 Audit and Investigation Team Progress Update (*Pages 67 - 156*)

- 10.2 Draft Audit Charter and Draft Summary Audit Plan 2021/22 (*Pages 157 - 186*)

- 10.3 Draft Monitoring Employees at Work Policy (*Pages 187 - 206*)

- 11 Treasury Management** 6.40 pm

- 11.1 Performance Report (*Pages 207 - 212*)

- 11.2 Draft Strategy 2021/22 (*Pages 213 - 250*)

- 12 Outstanding Actions** (*Pages 251 - 252*) 6.55 pm

- 13 Correspondence**

- 14 Work Programme Update** (*Pages 253 - 254*)

- 15 Urgent Items (if any)**

16 Date of next meeting - 23 March 2021

Davina Fiore

Director Governance & Legal Services

Date: Wednesday, 20 January 2021

Contact: Graham Porter, 02920 873401, g.porter@cardiff.gov.uk

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